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| **CONFIDENTIAL** | **Report of Innovation**  **Software Disclosure Form** | Internal Tech ID: \_\_\_\_\_\_\_\_\_\_\_\_\_  BDM: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  (for office use only) |
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1. **Title of Software:**

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1. **Inventors and Contributors**
   1. **Inventors:** List all individuals who have made an inventive contribution to this disclosure through the use of Western/Lawson resources (i.e., faculty, students postdocs, staff, visiting scientists, clinicians etc.) Attach separate pages if necessary

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| ***Legal* Surname, Given Names**  (Name to match government -issued ID, i.e. passport) | | **Western/Hospital**  **Number** | **Department**  (List any cross appointments or affiliated institutions) | **Affiliation with Western/Lawson/LHSC/St. Joseph’s**  (i.e. faculty, research assoc., post doc, student, staff, visitor, etc.) | **e-mail Address** | **Personal Contact Info**  (HOME address, PERSONAL Phone #) | **Brief description of contribution & overall %**  (Required for Assignment to Institution(s))\* |
| **Surname** | **Given Name(s)** |
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\*If innovation is assigned to the institutions, percentage will be used as a basis for sharing future revenues. Revenue distribution agreed to by the parties in an assignment agreement will govern.

* 1. **External Inventor(s):** List all individuals who have made an inventive contribution to this disclosure using non-Western/Lawson resources (i.e. sponsor employees, academic collaborators, etc.)

**Please include names, organization, contact information and email address**

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* 1. **Contributors (Non-inventors):** List all individuals at or external to Western/Lawson who have not made an inventive contribution but have contributed to the development of the invention. Please include name, organization and email address. <https://worldiscoveries.ca/2014/01/13/whos-the-crew-on-the-inventorship/>

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***It is the responsibility of all inventors to keep WORLDiscoveries® informed of address changes***

Please fill in the names of your Dean, Chair and/or Hospital/Research Institute Director.

Dean: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Chair: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Director: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

For the Report of Invention (ROI) involving one of the affiliated institutions, The University of Western Ontario/Lawson Health Research Institute will reserve the right to share information in this ROI on a confidential basis, as part of an inter-institutional agreement. The University of Western Ontario/Lawson Health Research Institute are committed to the protection of all personal information in accordance with the principles set out in PIPEDA and the provisions of the University’s Guidelines/Lawson’s Policies on Access to Information and Protection of Privacy. The University/Lawson will comply with PIPEDA with respect to all personal information collected, used or disclosed by it in the course of commercial activities.

1. **Location(s) of Work:**

Please list all locations (Western/Lawson and external) of the work leading to this innovation, be specific (i.e., department, building, hospital etc.)

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1. **Summary Description of Software (please use additional sheets if necessary)**

What does it do? Enclose sketches, drawings, photographs, screen prints and other materials to help illustrate the description.

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1. **General Purpose and if known, Commercial Application of Software**

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1. **a) Advantages and Unique Features of Software**

Please identify, from your perspective, the **novel** and **distinguishing** features of the software. Consider what problem the software solves; how this solution differs from existing technologies and how these differences are advantageous over these existing technologies?

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**b) Alternative Software**

Describe alternative software/products which you are aware accomplish the same purpose as your software, along with the companies that market, manufacture or make use of them. If not known, please state, “Not aware of any alternate software/companies”.

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1. **a) Has it been developed with/by external contractors or consultants? If so, who?**

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1. **Please complete list of all third party code embedded in or accessed by the disclosed software when such software is run. This list must include, without limitation, all open source code, free executable code, public domain code, library code, and all other executable or source code not written by any of the Creators listed on this form, whether such code is directly embedded in the software or accessed by the software when it is executed**

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**Please provide copies of any license agreements governing your use of the third party code or ownership information for any pictures or music embedded.**

**c) What programming language(s) has/have been used? What platform is it designed for and what is minimum hardware specification?**

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1. **What release version have you reached?**

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| Idea |  | Lab Test/Alpha |  | Beta test |  | Industry-validated |  | Other |  |

1. **Public Disclosure or Public Use/Sale. List the titles and dates of all possible public disclosures of the intellectual property (past or future, internal or external) and attach copies of published or submitted versions. This request includes internal university disclosures as well. The public disclosure date is the date the material is or will be available to others.**

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| **Papers (including manuscripts, letters and abstracts):** | **Date:** |
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| **Presentations and Posters:** | **Date:** |
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| **Thesis (presentation and publication):** | **Date:** |
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| **Websites:** | **Date:** |
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| **Other Public Disclosures** (including public use and sales): | **Date:** |
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1. **Development History**:

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|  | **DATE** | **Place, References, Comments** |  |
| **A** - When did you come up with the initial idea? |  |  | |
| **B** – When was the first successful version created? |  |  | |
| **C** – Is it related to other software or invention? (List ROI or SDR #(s), if any previously reported to WORLDiscoveries®) |  |  | |
| **D** – Are your lab books and other records in order and available? |  | Yes **☐** No **☐** | |

1. **Funding Sources.** Please list all sources of funding related to the conception and development of this intellectual property. It is important that this information is accurate and complete because sponsors may have certain rights in the intellectual property

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| **Sponsor** | **Project Title** | **ROLA #** |
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1. **Third Party Rights in the Intellectual Property.** Are there any other agreements in place with a third party that may affect ownership of this intellectual property? Examples – Material Transfer Agreements (MTA), Confidentiality Agreements (CDA/NDA), Private Foundation Grants etc.

**☐ Yes –** Identify the third party

*Please attach a copy of each agreement(s)*

**☐ No**

1. **What kind of license do you foresee for the released software?**

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| Academic |  | Evaluation |  | End User |  | Commercial |  | Open Source |  |

1. **In what form would the software be made available?**

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| Source |  | Object |  | Executable |  |  |  |  |  |

1. **What type and level of support (if any) will users of your software require? Can you provide any of this support?**

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1. **Industry or Other Contacts for Commercialization (Optional).** Do you know what Industry would be interested in this? Are you working with industry partners who would be interested in this invention? If yes, please list.

**NB: Do you have any kind of relationship with this company (and/or any ownership interest in same) that could be perceived as a conflict of interest?**

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1. **Additional Information or Comments (Optional).**

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1. Do you intend on assigning rights in this technology to the Institution?

*Note:* **WORLDiscoveries®** *assists in the commercialization of innovations. Assignment to the Institution is required to secure that assistance, however, neither assignment nor securing assistance are mandatory under the applicable Intellectual Property Policy of your Institution.*

Yes: ☐ No: ☐ Undecided: ☐

1. **Warranty**

I/We, the Inventors listed in Section 2(a) have read, understood and agree to all of the preceding, and declare that all of the information provided in this disclosure is complete and correct. To the best of our knowledge, all persons who might legally make an ownership claim in this Innovation are identified in Section 2(a) and 2(b)

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| **Full Legal Name (typed)** | **Signature** | **Date** |
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Once complete, submit to: [ipm@uwo.ca](mailto:ipm@uwo.ca)

Acknowledgement of Receipt by WORLDiscoveries®

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Souzan Armstrong Date

Executive Director

WORLDiscoveries® Business Development Office

**(To be completed by WORLDiscoveries**®**)**

BDM handling this file: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Phone: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Email: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Within 30 days of receipt of this Report of Innovation, a meeting with the Business Development Manager will determine whether additional information (detailed disclosure) is required.